Regular article

A DFT study of pyramidalized alkenes: 7-oxasesquinorbornenes and 7,7¢-dioxasesquinorbornenes

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Abstract. DFT calculations of 7'-oxasesquinorbornenes and 7,7¢-dioxasesquinorbornenes using the B3LYP/6– 31G* method are reported. All the investigated structures (syn- and anti- derivatives) showed significant non-planarity of the central double bond, with the exception of those *anti*-derivatives possessing symmetrical structures. The influence of the replacement of the methylene groups at position 7- of the norbornene fragment with oxygen and the introduction of second and third (peripheral) double bonds and benzene rings on the molecular and electronic structures of these molecules have also been investigated.

Keywords: Pyramidalized alkenes – DFT calculations – Sesquinorbornenes

Introduction

It is a well-documented fact that norbornenes (bicyclo[2.2.1]hept-2-enes) possess pyramidalized terminal π -bond hydrogens bent towards the *endo*-side [1, 2]. Non-planarity of the central π -bond in syn-sesquinor-

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bor[4a,8a]nenes is even more pronounced [3]. The most remarkable examples in the literature are the spiro cyclopentylsesquinorbornene molecule 1 with a record deformation for these systems of internal π -bond of 22.7 \degree [4], syn-oxabenzosesquinorbornene 2 (22.1 \degree) and the cage compound $3(39.0^{\circ}, B3LYP$ value) [5]. In contrast to the syn-sesquinorbornene derivatives, the corresponding anti-isomers have essentially planar central π -bonds, Scheme 1.

While sesquinorbornenes are relatively stable, the introduction of an oxygen atom at the 7-position causes almost all *oxa*-derivatives to be unstable species readily undergoing air oxidation or molecular transformations. Their formation was proven indirectly by trapping experiments [6], variable temperature photoelectron spectroscopy [7, 8], matrix isolation at cryogenic temperatures [9], mass spectrometry etc. It was also found that synthesis of oxa-bridged systems is more difficult than their carbon analogues. For instance, while syn-oxasesquinorbornadiene 4 [10] is a stable compound, syn-oxasesquinorbornene 5 is quite unstable [11, 12] and attempted syntheses of 6 have failed [13], Scheme 2.

Koch and Holthausen were the first to show that a precise description of the double-bond folding in pyramidalized olefins requires inclusion of valence electron correlation at least at the MP2 level of theory [14]. The same method was subsequently sucessfully used in studying a vast number of pyramidalized olefins by our group [7] and by others [15, 16]. Lately, the density functional theory methods (DFT) have become a very popular choice in studying geometries of large organic molecules containing pyramidalized double bonds [5, 17]. In our previous papers we have successfully used the $B3LYP/6-31G^*$ method to study pyramidalized alkenes [7, 18, 19, 20].

In this paper we have addressed the molecular and electronic structures of oxa- and dioxa derivatives of sesquinorbornenes, with a particular focus on the deformation of the central double bond [21]. The consequences of the replacement of a methylene bridge at

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Scheme 1

position 7 of a norbornene system with oxygen, the introduction of a second and third (peripheral) double bond, as well as the annelation of benzene rings have been herein computationally investigated.

Computational details

The initial geometry optimizations were performed using the SPARTAN [22] and the Gaussian98 [23] programs employing the *ab initio* RHF/6-31G* basis set [24], without geometrical constraints using the Fletcher-Powell method [25] and default Gaussian convergence criteria. Further optimizations were carried out using density functional theory (DFT) hybrid B3LYP (Becke's 3 parameter functional [26] with the non-local correlation provided by the expression of Lee et al. [27]) and the 6-31G* basis set. Frequency calculations verified the identity of each stationary point as a minimum [34]. Only the results of B3LYP/6–31G* (hereafter denoted as B3LYP) will be discussed here unless otherwise noted.

Results and discussion

The calculated bond lengths and angles of optimized structures are given in Tables 1, 2, 3, 4, 5, 6, 7, together with calculated values for the out-of-plane deviations for central and hydrogens attached to the peripheral double bonds. Previously published B3LYP results for alkenes 28 and 29 have also been included for comparison [7]. Table 8 lists the total energies of all studied molecules, as well as energy differences between syn- and antiisomers. B3LYP optimized structures are depicted in Figs. 1, 2, 3, 4, 5, 6, 7.

Before discussing extent of nonplanarity in the studied molecules, it is important to note that B3LYP results predict a smaller nonplanarity than the correspoding MP2 calculations [7, 14]. The estimated angles are by $0.5-2.0^{\circ}$ smaller than MP2 calculations, whose are, in turn slightly smaller than available X-ray data. However, while underestimating pyramidalization, both

Table 1. Selected B3LYP geometrical data and total energies for molecules $7-10^a$

	10 $\dot{\mathrm{o}}^{\mathfrak{g}}$ O 4a 5 1 8a 3 \mathfrak{p}		$\dot{\mathsf{o}}_{_{\boldsymbol{\theta}}}$ 10 4a 5 8a \mathfrak{p}	
	7	8	9	10
Bond /Å				
$C_{4a}C_{8a}$	1.343	1.339	1.346	1.341
C_1C_{8a}	1.535	1.543	1.515	1.515
C_1C_2	1.559	1.546	1.571	1.572
C_2C_3	1.333	1.333	1.561	1.564
$C_{4a}C_5$	1.515	1514	1.518	1.518
C_5C_6	1.567	1.568	1.567	1.566
C_6C_7	1.555	1.557	1.558	1.561
C_1X_{10}	1.446	1.458	1.555	1.556
C_5O_9	1.444	1.444	1.446	1.450
C_2C_7	3.503	4.862	3.697	4.936
O_9X_{10}	4.268	4.292	4.277	4.437
Angle \int_0^0				
$C_1C_{8a}C_{4a}$	105.2	105.2	108.1	108.2
$C_1C_{8a}C_8$	142.3	143.2	143.0	145.9
$C_8C_{8a}C_{4a}$	105.7	105.8	105.7	105.8
$C_1X_{10}C_4$	95.9	94.8	94.6	94.6
$C_5O_9C_8$	97.1	97.1	97.0	96.7
$C_1C_2H_2$	125.4	125.3		
$C_1C_2C_3$	105.2	105.3		
Φ_1 Φ_2	$^{21.9}_{1.5}$	20.0 5.0	15.5	3.0

 $\alpha^a\Phi_1$ =out-of-plane deviation for central π -bond

 Φ_2 =out-of-plane deviation for terminal π -bond

Table 2. Selected B3LYP geometrical data and total energies for molecules 11–14^a

	10 $\dot{\mathsf{o}}^9$ O. 4a 5 8a 7 11	O 12	10 9 4 4a 5 1 6 8a 8 3 15 \overline{c} $\overline{7}$ 11 144 12 16 18 13 13 17	14
Bond /Å				
$C_{4a}C_{8a}$ $\mathtt{C_1C_{8a}}$ C_1C_2 C_2C_3 C_1O_{10} C_2C_7 C_2O_9 O_9O_{10} $C_{2}C_{14}$ $\mathsf{C}_{\mathsf{11}}\mathsf{C}_{\mathsf{12}}$ $C_{12}C_{13}$	1.340 1.582 1.562 1.331 1.447 3.550 4.510 4.243	1.332 1.538 1.556 1.331 1.452 4.982 4.187 4.357	1.342 1.529 1.542 1.406 1.453 3.585 4.519 4.242 1.380 1.410 1.391	1.336 1.531 1.541 1.407 1.456 4.923 4.176 4.367 1.380 1.410 1.391
Angle $\it l^{\rm o}$ $C_1C_{8a}C_{4a}$ $C_1C_{8a}C_8$ $C_1O_{10}C_4$ $C_1C_2C_3$ $C_1C_2H_2$ Φ_1 Φ_2	105.3 142.5 95.9 105.2 125.3 22.0 2.0	105.4 149.1 95.6 105.3 125.2 0.0 3.8	105.8 143.1 96.8 19.4	105.9 148.2 96.6 0.0

^a Φ_1 =out-of-plane deviation for central π -bond

 Φ_2 =out-of-plane deviation for terminal π -bond

B3LYP and MP2 calculated bond lengths and bond angles are very close to the experimental values. In this study, we have come to the same conclusions.

Table 3. Selected B3LYP geometrical data and total energies for

10 C		ü 10° 14 12 ìз			
	15	16	6	17	
Bond /A					
$C_{4a}C_{8a}$	1.346	1.340	1.344	1.338	
C_1C_{8a}	1.531	1.533	1.528	1.529	
C_1C_2	1.542	1.539	1.543	1.543	
C_2C_3	1.408	1.408	1.409	1.408	
C_8C_{8a}	1.512	1.513	1.527	1.536	
C_5C_6	1.573	1.573	1.55	1.546	
C_6C_7	1.560	1.562	1.335	1.336	
C_5C_9	1.554	1.556	1.566	1.571	
C_1O_{10}	1.453	1.460	1.452	1.455	
C_9O_{10}	4.296	4.418	4.288	4.387	
C_2C_{14}	1.381	1.380	1.380	1.380	
$C_{11}C_{12}$	1.410	1,410	1.411	1.411	
$C_{12}C_{13}$	1.392	1.392	1.391	1.391	
Angle f°					
$C_1C_{8a}C_{4a}$	105.7	105.8	105.7	105.9	
$C_1C_{8a}C_8$	141.5	144.7	141.1	144.7 107.7	
$C_8C_{8a}C_{4a}$	108.1	108.3	107.7 93.0	92.7	
$C_5C_9C_8$	94.6	94.7	97.8 107.7	96.7	
$C_1O_{10}C_4$	96.8	96.2	122.3	107.7 124.4	
$C_5C_6C_7$					
$C_5C_6H_6$					
Φ_1 Φ_2	18.9	9.5	$^{20.1}_{2.3}$	10.8 3.6	

 $^a\Phi_1$ =out-of-plane deviation for central π -bond

 Φ_2 =out-of-plane deviation for terminal π -bond

First, we will focus our attention on the energetics of syn/anti pairs of isomers. An inspection of the energy differences between syn- and anti-isomers (collected in Table 8) reveals that, although more bent, in all cases syn-isomers are thermodynamically more stable by 5.9 to 15.9 kJ/mol. The smallest energy difference was found in the syn/anti pair 28/29, and the largest difference in the $syn/anti$ pair 24/25. The magnitude of the calculated syn/anti energy gap does not correlate well with the extent of out of plane deviation.

Next we focus our attention on the changes in double bond folding across the studied family of molecules. Let us consider the effect of gradual replacement of the $CH₂$ bridges with oxygen atoms in the sesquinorbornene moiety first. Our DFT calculations indicate that the replacement of one methylene bridge in syn-sequinorbornene 30 by oxygen to make 9 causes only a small increase in olefinic non-planarity (from 15.1° to 15.5° , see Tables 1 and 7). \perp A related prediction of the same kind was made by Gleiter and Spanget-Larsen [28] for syn-dioxasesquinorbornene 28 using EHT calculations. However, the double bond in the corresponding symmetrical *anti*-sesquinorbornene 31 is planar, while anti-7-oxasesquinorbornene 10 (where 7-oxanorbornene fragment is bent *endo*- in respect to the methylene bridge, Fig. 1) possesses a slightly nonplanar central double bond (3.0°) . Replacement of the methylene

Table 3. Selected B3LYP geometrical data and total energies for
molecules $18-21^a$
molecules $15-17^a$ molecules $18-21$ ^a

	10 ^O 4a 5 Ŕ Ba $\overline{2}$ 13 18	19	9 φ^9 10 _Q 4a 5 6 8a Ŕ \overline{c} 11 $\overline{7}$ 14 12 20 13	21
Bond /Å				
$C_{4a}C_{8a}$ C_1C_{8a} C_1C_2 C_2C_3 $C_{4a}C_5$ C_5C_6 C_6C_7 C_2C_{14} $C_{11}C_{12}$ $C_{12}C_{13}$ C_1O_{10} C_5O_9 O_9O_{10} C_7O_{10} C_2C_7	1.434 1.530 1.542 1.407 1.516 1.567 1.556 1.381 1.410 1.392 1.452 1.444 4.259 4.530 3.556	1.338 1.533 1.538 1.407 1.516 1.567 1.559 1.380 1.409 1.392 1.461 1.445 4.352 3.960 4.905	1.342 1.528 1.543 1.406 1.534 1.561 1.331 1.380 1.411 1.391 1.451 1.445 4.262 4.495 3.477	1.336 1.529 1.543 1.409 1.542 1.551 1.332 1.379 1.411 1.391 1.455 1.454 4.338 4.377 4.922
Angle \int^0 $C_1C_{8a}C_{4a}$ $C_1C_{8a}C_8$ $C_8C_{8a}C_{4a}$	105.7 143.1 105.7 97.1	105.8 146.6 105.9 96.1	105.8 141.7 105.2 95.9 96.9	105.9 146.8 105.3 95.3 96.8
$C_5O_9C_8$ $C_1O_{10}C_4$ $C_5C_6H_7$ $C_5C_6C_7$ Φ_1	96.9 19.6	97.1 10.8	125.3 105.2 $^{22.8}_{2.6}$	125.3 105.3 11.4 4.5
Φ_2				

 ${}^{a}\Phi_1$ =out-of-plane deviation for central π -bond

 Φ_2 =out-of-plane deviation for terminal π -bond

bridges in 9 and 10 to give dioxasesquinorbornenes 28 and 29, respectively, produced essentially no further change in pyramidalization for 28 (by only 0.3°) while the symmetrical *anti*- isomer 29 is completely flat) (Table 7, Fig. 7).

Structural consequences were calculated for the inclusion of etheno bridge(s) into these sesquinorbornyl compounds. For exampe, the replacement of the ethano bridge in dioxa molecule 28 with a double bond in diene 7 caused a significant increase in double bond non planarity (from 15.8° to 21.9°) (Table 1, see also Fig. 1). Furthermore, when yet another double bond was introduced in diene 7 to form dioxasesquinorbornatriene 11, only a small further increase of 0.1° (to a value of 22°) was predicted (Table 2, Fig. 2), in accordance with previously published results of Gleiter and Spanget-Larsen for syn-sesquinorbornatriene [28]. A relatively small pyramidalization angle was rationalized by repulsive interactions on the *endo* side, involving three ethylene π orbitals. Essentially same geometrical effects on nonplanarity of olefinic bond were experimentally observed by Paquette et al. in syn-sesquinorbornadiene [29] and *syn*-sesquinorbornatriene [30]. We can also see that *anti*-alkene 29 and *anti*-triene 12 have symmetrical structures and planar central olefinic bonds, diene 8 has

Table 5. Selected B3LYP geometrical data and total energies for	Table 6. Selected B3LYP geometrical data and total energies for
molecules $22\text{--}25^\mathrm{a}$.	molecules $26.27a$

 ${}^{a}\Phi_{1}$ =out-of-plane deviation for central π -bond

 Φ_2 =out-of-plane deviation for terminal π -bond

an unsymmetrical structure and an unexpectedly large deformation angle of 20.0° (where 7-oxanorbornadiene fragment is bent endo- in respect to the 7-oxanorbornene moiety, Table 1, Figure 1). This is by far the largest pyramidalization angle calculated for anti- sesquinorbornenes that has come to our attention during this study.

A comparison of X-ray crystallographic data for molecule 15 with the B3LYP calculated structure has revealed that the DFT method employed here significantly underestimates central bond non-planarity (by 3.3 \degree , the experimental value is 22.1 \degree , the theoretical is 18.9°), which is presumably due to the crystal packing effect [31]. Interestingly, there is a much better agreement between calculated and experimental bond lengths. The experimentally determined double bond length was found to be 1.339 Å , while the calculated value was shorter by only 0.007 Å .

The incorporation of a benzene ring or an alkene adjacent to the oxanorbornene moiety increases nonplanarity of central olefinic bond of sesquinorbornenes. For instance, in the dioxa series the internal alkene in 28 has an out of plane bending of 15.8° and this angle increases to 19.6° in benzo compound 18. Incorporation of an etheno bridge causes even larger pyramidalization

 ${}^a\Phi_1$ =out-of-plane deviation for central π -bond

 Φ_2 =out-of-plane deviation for the C₂C₃ terminal π -bond

 Φ_3 =out-of-plane deviation for the C₆C₇ terminal π -bond

Table 7. Selected B3LYP geometrical data and total energies for molecules 28–31^a

	10 9 O 4 4a 5 8a 6 \mathbf{a} \mathfrak{p}		10 9 4a 5 1 8a 6 3 7		
	28	29	30	31	
Bond /Å					
$C_{4a}C_{8a}$	1.343	1.337	1.348	1.344	
C_1C_{8a}	1.518	1.517	1.516	1.518	
C_1C_2	1.566	1.566	1.572	1.571	
C_2C_3	1.558	1.560	1.561	1.566	
C_1X_9	1.445	1.449	1.555	1.556	
C_2C_7	3.689	4.495	3.704	4.931	
X_9X_{10}	4.244	4.383	4.311	4.490	
$X_{10}C_7$	4.584	4.203	4.655	4.213	
Angle \int^0					
$C_1C_{8a}C_{4a}$	105.7	105.9	108.0	108.1	
$C_1C_{8a}C_8$	144.8	148.3	141.1	143.8	
$C_5X_9C_8$	97.0	97.1	94.5	94.5	
Φ_1	15.8	0.0	15.1	0.0	

 ${}^{a}\Phi_1$ =out-of-plane deviation for central π -bond

Table 8. B3LYP total energies and syn–anti energy differences for molecules 6-31

$syn-$ Molecule $E_{\text{tot}}/a.u.$		anti-		
		Molecule $E_{\text{tot}}/a.u.$		Rel.energy/kJ/mol
7 9 11 13 15 6 18 20 22 24 26 28 30	-537.386021 -502.751994 -536.124895 -843.462063 -655.163625 -653.911401 -691.054657 -689.794512 -501.494714 -501.502879 -500.241747 -538.643080 -406.859186	8 10 12 14 16 17 19 21 23 25 27 29 31	-537.381602 -502.748220 -536.119592 -843.457443 -655.158134 -653.906436 -691.049459 -689.788928 -501.489617 -501.496880 -500.236636 -538.640770 -406.855129	11.7 10.0 13.8 12.1 14.2 13.0 13.8 14.6 13.4 15.9 13.4 5.9 10.5

 $Φ = 21.9$ $Φ = 20.0$ 8 $\Phi = 3.0^{\circ}$ 9 10

Fig. 1. B3LYP optimized structures and deformation angles (φ) of compounds 7–10

Fig. 2. B3LYP optimized structures and deformation angles (φ) of compounds 11–14

Fig. 3. B3LYP optimized structures and deformation angles (φ) of compounds 6, 15–17

Fig. 4. B3LYP optimized structures and deformation angles (φ) of compounds 18–21

than incorporation of a benzene ring. Thus B3LYP calculation of 18 predicts a large double bond distortion, which is by 2.3° smaller than the value predicted for the corresponding dioxasesquinorbornadiene 7 possessing an etheno bridge (Table 4, Fig. 4). As in the case of the introduction of the second etheno bridge in triene 11, when the second benzene ring was incorporated to form dibenzotriene 13, there was no further pyramidalization

Fig. 5. B3LYP optimized structures and deformation angles (φ) of compounds 22–25

Fig. 6. B3LYP optimized structures and deformation angles (φ) of compounds 26 and 27

increase, indeed a slight decrease was predicted (up to 19.4°). Olefinic out of plane bending in the *anti* derivatives 14 (consisting of two identical subunits) and 19 (consisting of two unequal subunits) follows trends described earlier, *i.e.*, *anti*-dibenzotriene 14 has a planar structure (Fig. 2), while anti-dioxabenzodiene 19 showed a 10.8° bending toward the etheno bridge (Table 4).

It is also interesting to note that the non-planarity of the central double bond increases on going from dioxabenzodiene 18 to dioxabenzotriene 20 (Table 4, Fig. 4). The non-planarity value increased by 3.2° to a value of 22.8°. This value is the largest deformation angle calculated for molecules in this study and cannot be explained by the repulsive π interactions postulated by Gleiter. This is further corroborated by results for 7/11 $(21.9^{\circ}$ and $22.0^{\circ})$ or 18/13 pairs of molecules $(22.8^{\circ}$ and 19.4°). Similarly, a decrease in extent of bending was found in going from oxabenzodiene 15 to oxabenzotriene 6 (Table 3).

In the oxasesquinorbornene series, the introduction of a peripheral double bond in syn-oxasesquinorbornene 9 to form diene 22 (where the alkene is located in the oxanorbornene moiety) was predicted to have more pyramidalization than in the case of the isomeric diene

Fig. 7. B3LYP optimized structures and deformation angles (φ) of compounds 28–31

24 (where the alkene is located in the norbornene moiety) $(21.2^{\circ}$ vs. 20.5° , respectively, Table 5). When a third double bond was introduced in either diene 22 or diene 24, to form syn-oxasesquinorbornatriene 26, a small decrease in the angle Φ was found (by 1.1° and 0.4°, respectively), i.e. the same diminishing trend as predicted earlier for dioxasesquinorbornatriene 11 by Gleiter (Table 6).

It is interesting to mention that the calculations also predict nonplanarity of the terminal double bonds in these compounds. Olefinic protons are deviated towards the *endo*- direction between 1.3° (molecule 24) and 5.0° (molecule 8). These values correlate well with available X-ray results [32]. Furthermore, it was found that there is slightly larger terminal π -bond nonplanarity in the 7oxanorbornene moiety than in norbornene (for instance, in triene 26, the 7-oxanorbornadiene terminal π -bond hydrogens are bent by 2.1° , while in the norbornadiene side hydrogens are bent by 1.3°). A larger value for angle Φ was also found in the terminal double bond of synbenzodioxatriene 20 as compared with syn-dioxatriene 11 $(2.6^{\circ}$ and 2.0° , respectively). A similar result was obtained for their *anti*-counterparts 21 and 12 $(4.5^{\circ}$ and 3.8-, respectively, Tables 2 and 4).

The central π -bonds of the unsymmetrical *anti*molecules also deviate from planarity. Non-planarity angles have values between 3.2° (27) and 11.4 $^{\circ}$ (21), in most cases significantly smaller than in the corresponding syn-derivatives, as expected, due to the cancellation effects of the two norbornene moieties operating in opposite directions.

Finally, other characteristic structural parameters of studied molecules were examined. For instance, the length of the central double carbon-carbon bonds, shortens with diminishing pyramidalization (increased bonding). The largest deviation from planarity was computed for compound 11 (22.0°) which has a $C_{4a}C_{8a}$ bond distance of 1.340 \AA , and the least for alkene 12 (planar) with $C_{4a}C_{8a}$ bond length of 1.332 Å. For molecule 4 an X-ray $C_{4a}C_{8a}$ bond distance of 1.388 Å

There is good agreement between calculated and experimentally determined COC angles. The COC X-ray angles are around 96° [33] with all calculated values for $C_1O_{10}C_4$ angles are within a range of 95.3° to 98.1° (the smallest angle is in the molecule 21, and the largest is in molecule 23). Interestingly, the bond angles do not follow the trends of changes in central double bond folding.

Another characteristic of the calculated geometries worthy of note is the bridge C_1O_{10} bond length, which vary from a value of 1.444 A (molecule 7) to 1.461 A (molecule 19). These values correlate well the with experimentally determined distance of 1.448 A in molecule 4. Again, no correlation of bond length and out of plane deviation was found. \pm

Conclusions

B3LYP/6–31G* calculated geometries of the described molecules are in good agreement with available experimental data, while the extent of deformation is underestimated (by 2.2°). Furthermore, it appears that:

- a. replacement of the 7-methano bridge(s) with oxygen has very small influence on the increased pyramidalization angle of the central double bond;
- b. replacement of one ethano bridge with an etheno or benzene ring causes a significant increase in the deformation of the central double bond;
- c. introduction of an etheno bridge causes larger deviation than introduction of a benzene ring;
- d. introduction of two peripheral double bonds (or benzene rings) does not further increase nonplanarity of the central double bond;
- e. symmetrical anti- structures are planar, while others are bent, but to a lesser extent than their syn-counterparts;

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 \pm Out of plane deviation for central π -bond (Φ) is defined as a dihedral angle between $C_1C_{8a}C_{4a}C_4$ and $C_8C_{8a}C_{4a}C_5$ planes

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